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关于带自由表面的 Navier-Stokes 方程的一点注记<sup>①</sup>

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**摘要:** 利用文中的一些定义和复合函数的求导方法以及 Newton-Leibniz 公式, 对  $\eta$  函数(一个关键性函数)的一阶和二阶偏导进行了详细推导.

**关键词:** Fourier 变换; 极坐标变换; Newton-Leibniz 公式

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近年来, Navier-Stokes 方程的相关研究已经引起了广泛的关注<sup>[1-2]</sup>. 文献[3]考虑了不可压缩粘性流体在高 Reynolds 数下的运动方程(不可压缩 Navier-Stokes 方程):

$$\partial_t u + u \cdot \nabla u + \nabla p = \varepsilon \Delta u, \quad \nabla \cdot u = 0, \quad x \in \Omega_t, \quad t > 0$$

其中流体域  $\Omega_t = \{x \in \mathbb{R}^3, x_3 < h(t, x_1, x_2)\}$ . 文中通过一个微分型同胚映射  $\Phi(t, \cdot)$  将运动域上的问题简化到固定域  $S$  上,

$$\begin{aligned} \Phi(t, \cdot): S = \mathbb{R}^2 \times (-\infty, 0) &\longrightarrow \Omega_t, \\ x = (y, z) &\mapsto (y, \varphi(t, y, z)) \end{aligned}$$

其中

$$\varphi(t, y, z) = Az + \eta(t, y, z)$$

这里产生的  $\eta$  函数是  $h$  的延拓, 即  $\hat{\eta}(\xi, z) = \chi(z\xi) + \hat{h}(\xi)$ , 其中  $\hat{\cdot}$  表示关于变量  $y$  的 Fourier 变换,  $\chi$  是一个光滑的紧支集函数, 使得在  $B(0, 1)$  上  $\chi = 1$ . 另外常数  $A > 0$ , 这使得  $\partial_z \varphi > 0$ . 文献[4-9]都研究了  $\eta$  函数. 文献[3]中多次对  $\varphi$  进行求导, 因此不可避免地必须对  $\eta$  函数进行求导, 而  $\eta$  函数的求导过程较为繁琐, 并且文献[3]并没有给出具体的计算过程, 但是必须有  $\eta$  函数的求导结果才有后续的证明结果. 因此本文专门讨论了  $\eta$  函数的一阶偏导和二阶偏导.

## 1 方法示例

设  $F(t) = \int_{x^2+y^2 \leq t^2} f(x, y) dx dy$ , 首先计算  $F'(t), F''(t)$ . 为此作变换  $\begin{cases} x = r \cos \theta \\ y = r \sin \theta \end{cases}, 0 \leq r \leq |t|, 0 \leq \theta \leq 2\pi$ , 则有

$$F(t) = \int_0^{|t|} \int_0^{2\pi} f(r \cos \theta, r \sin \theta) r d\theta dr \quad (1)$$

一般地, 我们定义  $G(r) = \int_0^{2\pi} f(r \cos \theta, r \sin \theta) r d\theta$ .

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1) 当  $t > 0$  时,  $r \leq t$ , 则  $F(t) = \int_0^t G(r) dr$ , 所以

$$F'(t) = \frac{d}{dt} \left( \int_0^t G(r) dr \right) = G(t) = t \int_0^{2\pi} f(t \cos \theta, t \sin \theta) d\theta \quad (2)$$

$$F''(t) = \int_0^{2\pi} f(t \cos \theta, t \sin \theta) d\theta + t \int_0^{2\pi} (\partial_1 f \cos \theta + \partial_2 f \sin \theta) d\theta \quad (3)$$

2) 类似地, 当  $t < 0$  时,  $r \leq -t$ , 则  $F(t) = \int_0^{-t} G(r) dr$ , 所以

$$F'(t) = -G(t) = -t \int_0^{2\pi} f(t \cos \theta, t \sin \theta) d\theta \quad (4)$$

$$F''(t) = -\int_0^{2\pi} f(t \cos \theta, t \sin \theta) d\theta - t \int_0^{2\pi} (\partial_1 f \cos \theta + \partial_2 f \sin \theta) d\theta \quad (5)$$

3) 因为当  $t = 0$  时,  $F(0) = 0$ , 所以当  $t \rightarrow 0_+$  时,

$$\begin{aligned} F'_+(0) &= \lim_{\Delta t \rightarrow 0_+} \frac{F(0 + \Delta t) - F(0)}{\Delta t} = \lim_{\Delta t \rightarrow 0_+} \frac{F(\Delta t)}{\Delta t} = \\ &= \lim_{\Delta t \rightarrow 0_+} \frac{\int_{x^2+y^2 \leq (\Delta t)^2} f(x, y) dx dy}{\Delta t} \stackrel{\text{洛必达}}{=} \lim_{\Delta t \rightarrow 0_+} \left( \int_0^{\Delta t} G(r) dr \right)'_{\Delta t} = \\ &= \lim_{\Delta t \rightarrow 0_+} G(\Delta t) = \lim_{\Delta t \rightarrow 0_+} \Delta t \int_0^{2\pi} f(\Delta t \cos \theta, \Delta t \sin \theta) d\theta = 0 \end{aligned} \quad (6)$$

类似地, 当  $t \rightarrow 0_-$  时, 易得  $F'_-(0) = 0$ .

## 2 函数 $\eta(t, y, z)$ 的二阶偏导

下面引入 Fourier 变换和 Fourier 逆变换的定义:

$$\hat{f}(\xi) = \int_{\mathbb{R}^n} f(x) e^{-2\pi i x \cdot \xi} dx \quad f(x) = \int_{\mathbb{R}^n} \hat{f}(\xi) e^{2\pi i x \cdot \xi} d\xi$$

由文献[4-6]知

$$\begin{aligned} \eta(t, y, z) &= \int_{\mathbb{R}^2} \hat{\eta}(t, \xi, z) e^{2\pi i y \cdot \xi} d\xi = \int_{\mathbb{R}^2} \chi(z\xi) \hat{h}(t, \xi) e^{2\pi i y \cdot \xi} d\xi = \\ &= \int_{|\xi| \leq 1} \hat{h}(t, \xi) e^{2\pi i y \cdot \xi} d\xi + \int_{1 \leq |\xi| \leq R} \chi(z\xi) \hat{h}(t, \xi) e^{2\pi i y \cdot \xi} d\xi = \\ &= \Phi(t, y, z) + \Psi(t, y, z) \end{aligned}$$

其中:  $y = (y_1, y_2)$ ,  $\xi = (\xi_1, \xi_2)$ . 这里  $\hat{\cdot}$  表示关于变量  $y$  的 Fourier 变换,  $R$  表示圆域的半径,  $\chi$  是一个光滑的紧支集函数, 使得在  $B(0, 1)$  上  $\chi = 1$ .

下面讨论  $\Psi(t, y, z)$ . 由于  $1 \leq |z\xi| \leq R$ , 所以  $1 \leq z^2 \xi_1^2 + z^2 \xi_2^2 \leq R^2$ , 则  $\frac{1}{z^2} \leq \xi_1^2 + \xi_2^2 \leq \frac{R^2}{z^2}$ . 因此

作变换  $\begin{cases} \xi_1 = r \cos \theta \\ \xi_2 = r \sin \theta \end{cases}$ ,  $\frac{1}{|z|} \leq r \leq \frac{R}{|z|}$ ,  $0 \leq \theta \leq 2\pi$ . 为了方便记:

$$\begin{aligned} \bar{\chi}: &= \chi(\cos \theta, \sin \theta), \bar{\chi}: = \chi(R \cos \theta, R \sin \theta), \chi: = \chi(zr \cos \theta, zr \sin \theta) \\ \bar{H}: &= \hat{h}(t, \frac{1}{z} \cos \theta, \frac{1}{z} \sin \theta), \bar{H}: = \hat{h}(t, \frac{R}{z} \cos \theta, \frac{R}{z} \sin \theta), H: = \hat{h}(t, r \cos \theta, r \sin \theta) \\ \bar{E}: &= e^{\frac{2i\pi}{z}(y_1 \cos \theta + y_2 \sin \theta)}, \bar{E}: = e^{\frac{2i\pi R}{z}(y_1 \cos \theta + y_2 \sin \theta)}, E: = e^{2i\pi r(y_1 \cos \theta + y_2 \sin \theta)} \end{aligned}$$

则有

$$\Psi(t, y, z) = \int_{\frac{1}{|z|}}^{\frac{R}{|z|}} \int_0^{2\pi} \bar{\chi} \bar{H} E r d\theta dr = \int_{\frac{1}{|z|}}^{\frac{R}{|z|}} f(t, y, z, r) dr \quad (7)$$

其中  $f(t, y, z, r) = \int_0^{2\pi} \chi(zr \cos \theta, zr \sin \theta) \hat{h}(t, r \cos \theta, r \sin \theta) e^{2i\pi r(y_1 \cos \theta + y_2 \sin \theta)} r d\theta$ .

**命题 1** 当  $z > 0$  时,

$$\begin{aligned} \Psi''_{zy_1}(t, \mathbf{y}, z) = & -\frac{2i\pi R^3}{z^4} \int_0^{2\pi} \widetilde{\chi} \widetilde{H} \widetilde{E} \cos\theta d\theta + \frac{2i\pi}{z^4} \int_0^{2\pi} \overline{\chi} \overline{H} \overline{E} \cos\theta d\theta + \\ & 2i\pi \int_{\frac{1}{z}}^{\frac{R}{z}} \int_0^{2\pi} (\partial_1 \chi \cos\theta + \partial_2 \chi \sin\theta) H E r^3 \cos\theta d\theta dr \end{aligned} \quad (8)$$

$$\begin{aligned} \Psi''_{zy_2}(t, \mathbf{y}, z) = & -\frac{2i\pi R^3}{z^4} \int_0^{2\pi} \widetilde{\chi} \widetilde{H} \widetilde{E} \sin\theta d\theta + \frac{2i\pi}{z^4} \int_0^{2\pi} \overline{\chi} \overline{H} \overline{E} \sin\theta d\theta + \\ & 2i\pi \int_{\frac{1}{z}}^{\frac{R}{z}} \int_0^{2\pi} (\partial_1 \chi \cos\theta + \partial_2 \chi \sin\theta) H E r^3 \sin\theta d\theta dr \end{aligned} \quad (9)$$

$$\begin{aligned} \Psi''_{xz}(t, \mathbf{y}, z) = & -\frac{R^2}{z^3} \int_0^{2\pi} \widetilde{\chi} \partial_t \widetilde{H} \widetilde{E} d\theta + \frac{1}{z^3} \int_0^{2\pi} \overline{\chi} \partial_t \overline{H} \overline{E} d\theta + \\ & \int_{\frac{1}{z}}^{\frac{R}{z}} \int_0^{2\pi} (\partial_1 \chi \cos\theta + \partial_2 \chi \sin\theta) \partial_t H E r^2 d\theta dr \end{aligned} \quad (10)$$

$$\begin{aligned} \Psi''_{zz}(t, \mathbf{y}, z) = & \frac{3R^2}{z^4} \int_0^{2\pi} \widetilde{\chi} \widetilde{H} \widetilde{E} d\theta + \frac{R^3}{z^5} \int_0^{2\pi} (\partial_2 \widetilde{H} \cos\theta + \partial_3 \widetilde{H} \sin\theta) \widetilde{\chi} \widetilde{E} d\theta + \\ & \frac{2i\pi R^3}{z^5} \int_0^{2\pi} \widetilde{\chi} \widetilde{H} \widetilde{E} (y_1 \cos\theta + y_2 \sin\theta) d\theta - \frac{3}{z^4} \int_0^{2\pi} \overline{\chi} \overline{H} \overline{E} d\theta - \\ & \frac{1}{z^5} \int_0^{2\pi} (\partial_2 \overline{H} \cos\theta + \partial_3 \overline{H} \sin\theta) \overline{\chi} \overline{E} d\theta - \frac{2i\pi}{z^5} \int_0^{2\pi} \overline{\chi} \overline{H} \overline{E} (y_1 \cos\theta + y_2 \sin\theta) d\theta - \\ & \frac{R^3}{z^4} \int_0^{2\pi} [\partial_1 \chi (R \cos\theta, R \sin\theta) \cos\theta + \partial_2 \chi (R \cos\theta, R \sin\theta) \sin\theta] \widetilde{H} \widetilde{E} d\theta dr + \\ & \frac{1}{z^4} \int_0^{2\pi} [\partial_1 \chi (\cos\theta, \sin\theta) \cos\theta + \partial_2 \chi (\cos\theta, \sin\theta) \sin\theta] \overline{H} \overline{E} d\theta dr + \\ & \int_{\frac{1}{z}}^{\frac{R}{z}} \int_0^{2\pi} [\partial_{11} \chi \cos^2\theta + \partial_{12} \chi \sin\theta \cos\theta + \partial_{21} \chi \sin\theta \cos\theta + \partial_{22} \chi \sin^2\theta] H E r^3 d\theta dr \end{aligned} \quad (11)$$

证 当  $z > 0$  时,  $\frac{1}{z} \leq r \leq \frac{R}{z}$ , 则  $\Psi(t, \mathbf{y}, z) = \int_{\frac{1}{z}}^{\frac{R}{z}} f(t, \mathbf{y}, z, r) dr$ . 所以, 由文献[10]的 Newton-Leibniz 公式可得:

$$\begin{aligned} \Psi'_z(t, \mathbf{y}, z) = & f\left(t, \mathbf{y}, z, \frac{R}{z}\right) \left(\frac{R}{z}\right)' - f\left(t, \mathbf{y}, z, \frac{1}{z}\right) \left(\frac{1}{z}\right)' + \int_{\frac{1}{z}}^{\frac{R}{z}} f'_z(t, \mathbf{y}, z, r) dr = \\ & -\frac{R}{z^2} \int_0^{2\pi} \chi\left(z \frac{R}{z} \cos\theta, z \frac{R}{z} \sin\theta\right) \hat{h}\left(t, \frac{R}{z} \cos\theta, \frac{R}{z} \sin\theta\right) e^{\frac{2i\pi R}{z}(y_1 \cos\theta + y_2 \sin\theta)} \frac{R}{z} d\theta + \\ & \frac{1}{z^2} \int_0^{2\pi} \chi\left(z \frac{1}{z} \cos\theta, z \frac{1}{z} \sin\theta\right) \hat{h}\left(t, \frac{1}{z} \cos\theta, \frac{1}{z} \sin\theta\right) e^{\frac{2i\pi}{z}(y_1 \cos\theta + y_2 \sin\theta)} \frac{1}{z} d\theta + \\ & \int_{\frac{1}{z}}^{\frac{R}{z}} \int_0^{2\pi} [\partial_1 \chi(zr \cos\theta, zr \sin\theta) r \cos\theta + \partial_2 \chi(zr \cos\theta, zr \sin\theta) r \sin\theta] \times \\ & [\hat{h}(t, r \cos\theta, r \sin\theta) e^{2i\pi r(y_1 \cos\theta + y_2 \sin\theta)} r] d\theta dr = \\ & -\frac{R^2}{z^3} \int_0^{2\pi} \widetilde{\chi} \widetilde{H} \widetilde{E} d\theta + \frac{1}{z^3} \int_0^{2\pi} \overline{\chi} \overline{H} \overline{E} d\theta + \\ & \int_{\frac{1}{z}}^{\frac{R}{z}} \int_0^{2\pi} (\partial_1 \chi \cos\theta + \partial_2 \chi \sin\theta) H E r^2 d\theta dr \end{aligned} \quad (12)$$

进一步求得:

$$\begin{aligned} \Psi''_{zy_1}(t, \mathbf{y}, z) = & -\frac{R^2}{z^3} \int_0^{2\pi} \widetilde{\chi} \widetilde{H} \frac{2i\pi R}{z} \cos\theta \widetilde{E} d\theta + \frac{1}{z^3} \int_0^{2\pi} \overline{\chi} \overline{H} \frac{2i\pi}{z} \cos\theta \overline{E} d\theta + \\ & \int_{\frac{1}{z}}^{\frac{R}{z}} \int_0^{2\pi} (\partial_1 \chi \cos\theta + \partial_2 \chi \sin\theta) H E (2i\pi) r^3 \cos\theta d\theta dr \end{aligned}$$

由此容易得到(8)式. 同理可得方程(9)和(10). 下面计算  $\Psi''_{zz}(t, \mathbf{y}, z)$ ,

$$\begin{aligned}
\Psi_{zz}''(t, \mathbf{y}, z) = & \frac{3R^2}{z^4} \int_0^{2\pi} \tilde{\chi} \tilde{H} \tilde{E} d\theta - \frac{R^2}{z^3} \int_0^{2\pi} \tilde{\chi} (\partial_2 \tilde{H} \cos\theta + \partial_3 \tilde{H} \sin\theta) \left(-\frac{R}{z^2}\right) \tilde{E} d\theta - \\
& \frac{R^2}{z^3} \int_0^{2\pi} \tilde{\chi} \tilde{H} \left(-\frac{2i\pi R}{z^2}\right) (y_1 \cos\theta + y_2 \sin\theta) \tilde{E} d\theta - \\
& \frac{3}{z^4} \int_0^{2\pi} \tilde{\chi} \tilde{H} \tilde{E} d\theta + \frac{1}{z^3} \int_0^{2\pi} \tilde{\chi} (\partial_2 \tilde{H} \cos\theta + \partial_3 \tilde{H} \sin\theta) \left(-\frac{1}{z^2}\right) \tilde{E} d\theta + \\
& \frac{1}{z^3} \int_0^{2\pi} \tilde{\chi} \tilde{H} \left(-\frac{2i\pi}{z^2}\right) (y_1 \cos\theta + y_2 \sin\theta) \tilde{E} d\theta + \\
& \left[-\frac{R}{z^2} \int_0^{2\pi} [\partial_1 \tilde{\chi} (R \cos\theta, R \sin\theta) \cos\theta + \partial_2 \tilde{\chi} (R \cos\theta, R \sin\theta) \sin\theta] \tilde{H} \tilde{E} \left(\frac{R}{z}\right)^2 d\theta dr + \right. \\
& \left. \frac{1}{z^2} \int_0^{2\pi} [\partial_1 \tilde{\chi} (\cos\theta, \sin\theta) \cos\theta + \partial_2 \tilde{\chi} (\cos\theta, \sin\theta) \sin\theta] \tilde{H} \tilde{E} \left(\frac{1}{z}\right)^2 d\theta dr + \right. \\
& \left. \int_{\frac{1}{z}}^{\frac{R}{z}} \int_0^{2\pi} [\partial_{11} \tilde{\chi} r \cos^2\theta + \partial_{12} \tilde{\chi} r \sin\theta \cos\theta + \partial_{21} \tilde{\chi} r \sin\theta \cos\theta + \partial_{22} \tilde{\chi} r \sin^2\theta] \tilde{H} \tilde{E} r^2 d\theta dr \right]
\end{aligned}$$

由此容易得到(11)式.

下面讨论当  $z < 0$  时的情形, 为了方便记:

$$\begin{aligned}
\bar{\chi}_- &:= \chi(-\cos\theta, -\sin\theta) & \tilde{\chi}_- &:= \chi(-R\cos\theta, -R\sin\theta) \\
\bar{H}_- &:= \hat{h}\left(t, -\frac{1}{z}\cos\theta, -\frac{1}{z}\sin\theta\right) & \tilde{H}_- &:= \hat{h}\left(t, -\frac{R}{z}\cos\theta, -\frac{R}{z}\sin\theta\right) \\
\bar{E}_- &:= e^{-\frac{2i\pi}{z}(y_1 \cos\theta + y_2 \sin\theta)} & \tilde{E}_- &:= e^{-\frac{2i\pi R}{z}(y_1 \cos\theta + y_2 \sin\theta)}
\end{aligned}$$

**命题 2** 当  $z < 0$  时,

$$\begin{aligned}
\Psi_{zy_1}''(t, \mathbf{y}, z) = & -\frac{2i\pi R^3}{z^5} \int_0^{2\pi} \tilde{\chi}_- \tilde{H}_- \tilde{E}_- \cos\theta d\theta + \frac{2i\pi}{z^5} \int_0^{2\pi} \tilde{\chi}_- \tilde{H}_- \tilde{E}_- \cos\theta d\theta + \\
& 2i\pi \int_{-\frac{1}{z}}^{\frac{R}{z}} \int_0^{2\pi} (\partial_1 \tilde{\chi} \cos\theta + \partial_2 \tilde{\chi} \sin\theta) \tilde{H} \tilde{E} r^3 \cos\theta d\theta dr
\end{aligned} \tag{13}$$

$$\begin{aligned}
\Psi_{zy_2}''(t, \mathbf{y}, z) = & -\frac{2i\pi R^3}{z^5} \int_0^{2\pi} \tilde{\chi}_- \tilde{H}_- \tilde{E}_- \sin\theta d\theta + \frac{2i\pi}{z^5} \int_0^{2\pi} \tilde{\chi}_- \tilde{H}_- \tilde{E}_- \sin\theta d\theta + \\
& 2i\pi \int_{-\frac{1}{z}}^{\frac{R}{z}} \int_0^{2\pi} (\partial_1 \tilde{\chi} \cos\theta + \partial_2 \tilde{\chi} \sin\theta) \tilde{H} \tilde{E} r^3 \sin\theta d\theta dr
\end{aligned} \tag{14}$$

$$\begin{aligned}
\Psi_{zt}''(t, \mathbf{y}, z) = & -\frac{R^2}{z^3} \int_0^{2\pi} \tilde{\chi}_- \partial_t \tilde{H}_- \tilde{E}_- d\theta + \frac{1}{z^3} \int_0^{2\pi} \tilde{\chi}_- \partial_t \tilde{H}_- \tilde{E}_- d\theta + \\
& \int_{-\frac{1}{z}}^{\frac{R}{z}} \int_0^{2\pi} (\partial_1 \tilde{\chi} \cos\theta + \partial_2 \tilde{\chi} \sin\theta) \partial_t \tilde{H} \tilde{E} r^2 d\theta dr
\end{aligned} \tag{15}$$

$$\begin{aligned}
\Psi_{zz}''(t, \mathbf{y}, z) = & \frac{3R^2}{z^4} \int_0^{2\pi} \tilde{\chi}_- \tilde{H}_- \tilde{E}_- d\theta - \frac{R^3}{z^5} \int_0^{2\pi} (\partial_2 \tilde{H}_- \cos\theta + \partial_3 \tilde{H}_- \sin\theta) \tilde{\chi}_- \tilde{E}_- d\theta - \\
& \frac{2i\pi R^3}{z^5} \int_0^{2\pi} \tilde{\chi}_- \tilde{H}_- \tilde{E}_- (y_1 \cos\theta + y_2 \sin\theta) d\theta - \frac{3}{z^4} \int_0^{2\pi} \tilde{\chi}_- \tilde{H}_- \tilde{E}_- d\theta + \\
& \frac{1}{z^5} \int_0^{2\pi} (\partial_2 \tilde{H}_- \cos\theta + \partial_3 \tilde{H}_- \sin\theta) \tilde{\chi}_- \tilde{E}_- d\theta + \frac{2i\pi}{z^5} \int_0^{2\pi} \tilde{\chi}_- \tilde{H}_- \tilde{E}_- (y_1 \cos\theta + y_2 \sin\theta) d\theta + \\
& \frac{R^3}{z^4} \int_0^{2\pi} [\partial_1 \tilde{\chi} (-R \cos\theta, -R \sin\theta) \cos\theta + \partial_2 \tilde{\chi} (-R \cos\theta, -R \sin\theta) \sin\theta] \tilde{H}_- \tilde{E}_- d\theta dr - \\
& \frac{1}{z^4} \int_0^{2\pi} [\partial_1 \tilde{\chi} (-\cos\theta, -\sin\theta) \cos\theta + \partial_2 \tilde{\chi} (-\cos\theta, -\sin\theta) \sin\theta] \tilde{H}_- \tilde{E}_- d\theta dr + \\
& \int_{\frac{1}{z}}^{\frac{R}{z}} \int_0^{2\pi} [\partial_{11} \tilde{\chi} \cos^2\theta + \partial_{12} \tilde{\chi} \sin\theta \cos\theta + \partial_{21} \tilde{\chi} \sin\theta \cos\theta + \partial_{22} \tilde{\chi} \sin^2\theta] \tilde{H} \tilde{E} r^3 d\theta dr
\end{aligned} \tag{16}$$

证 当  $z < 0$  时,  $-\frac{1}{z} \leq r \leq -\frac{R}{z}$ , 则  $\Psi(t, \mathbf{y}, z) = \int_{-\frac{1}{z}}^{-\frac{R}{z}} f(t, \mathbf{y}, z, r) dr$ . 所以易得

$$\Psi'_z(t, \mathbf{y}, z) = -\frac{R^2}{z^3} \int_0^{2\pi} \tilde{\chi}_- \tilde{H}_- \tilde{E}_- d\theta + \frac{1}{z^3} \int_0^{2\pi} \chi_- \bar{H}_- \bar{E}_- d\theta + \int_{-\frac{1}{z}}^{-\frac{R}{z}} \int_0^{2\pi} (\partial_1 \chi \cos\theta + \partial_2 \chi \sin\theta) H E r^2 d\theta dr \tag{17}$$

进一步, 采用命题 1 的方法进行计算, 很容易得到方程(13)-(16).

其次, 讨论  $\Phi(t, \mathbf{y}, z)$ . 由于  $|z\xi| \leq 1$ , 所以  $z^2 \xi_1^2 + z^2 \xi_2^2 \leq 1$ , 则  $\xi_1^2 + \xi_2^2 \leq \frac{1}{z^2} = \frac{1}{|z|^2}$ . 因此作变换

$$\begin{cases} \xi_1 = r \cos\theta \\ \xi_2 = r \sin\theta \end{cases}, 0 \leq r \leq \frac{1}{|z|}, 0 \leq \theta \leq 2\pi, \text{ 则有}$$

$$\Phi(t, \mathbf{y}, z) = \int_0^{\frac{1}{|z|}} \int_0^{2\pi} \hat{h}(t, r \cos\theta, r \sin\theta) e^{2i\pi r(y_1 \cos\theta + y_2 \sin\theta)} r d\theta dr = \int_0^{\frac{1}{|z|}} G(t, \mathbf{y}, r) dr \tag{18}$$

其中  $G(t, \mathbf{y}, r) = \int_0^{2\pi} \hat{h}(t, r \cos\theta, r \sin\theta) e^{2i\pi r(y_1 \cos\theta + y_2 \sin\theta)} r d\theta$ . 当  $z \rightarrow 0$  时,  $\Phi(t, \mathbf{y}, z) = \int_{\mathbb{R}^2} \hat{h}(t, \xi) e^{2i\pi \mathbf{y} \cdot \xi} d\xi$ ,

容易计算各阶偏导数. 下面分别讨论  $z > 0$  和  $z < 0$  的情形. 为了方便, 记  $\hat{h}(t, z \cos\theta, z \sin\theta) := \hat{h}, e^{2i\pi z(y_1 \cos\theta + y_2 \sin\theta)} := \tilde{e}$ .

**命题 3** 1) 当  $z < 0$  时,

$$\Phi''_{zy_1}(t, \mathbf{y}, z) = -2i\pi \int_0^{2\pi} \hat{h} \tilde{e} \cos\theta d\theta \tag{19}$$

$$\Phi''_{zy_2}(t, \mathbf{y}, z) = -2i\pi \int_0^{2\pi} \hat{h} \tilde{e} \sin\theta d\theta \tag{20}$$

$$\Phi''_{xz}(t, \mathbf{y}, z) = -\frac{1}{z} \int_0^{2\pi} \partial_t \hat{h} \tilde{e} d\theta \tag{21}$$

$$\begin{aligned} \Phi''_{zz}(t, \mathbf{y}, z) &= \frac{1}{z^2} \int_0^{2\pi} \hat{h} \tilde{e} d\theta - \frac{1}{z} \int_0^{2\pi} (\partial_2 \hat{h} \cos\theta + \partial_3 \hat{h} \sin\theta) \tilde{e} d\theta - \\ &\quad \frac{2i\pi}{z} \int_0^{2\pi} \hat{h} \tilde{e} (y_1 \cos\theta + y_2 \sin\theta) d\theta \end{aligned} \tag{22}$$

2) 当  $z < 0$  时,

$$\Phi''_{zy_1}(t, \mathbf{y}, z) = 2i\pi \int_0^{2\pi} \hat{h} \tilde{e} \cos\theta d\theta \tag{23}$$

$$\Phi''_{zy_2}(t, \mathbf{y}, z) = 2i\pi \int_0^{2\pi} \hat{h} \tilde{e} \sin\theta d\theta \tag{24}$$

$$\Phi''_{xz}(t, \mathbf{y}, z) = \frac{1}{z} \int_0^{2\pi} \partial_t \hat{h} \tilde{e} d\theta \tag{25}$$

$$\begin{aligned} \Phi''_{zz}(t, \mathbf{y}, z) &= -\frac{1}{z^2} \int_0^{2\pi} \hat{h} \tilde{e} d\theta + \frac{1}{z} \int_0^{2\pi} (\partial_2 \hat{h} \cos\theta + \partial_3 \hat{h} \sin\theta) \tilde{e} d\theta + \\ &\quad \frac{2i\pi}{z} \int_0^{2\pi} \hat{h} \tilde{e} (y_1 \cos\theta + y_2 \sin\theta) d\theta \end{aligned} \tag{26}$$

**证** 当  $z > 0$  时,  $\Phi(t, \mathbf{y}, z) = \int_0^{\frac{1}{z}} G(t, \mathbf{y}, r) dr$ , 则  $\Phi'_z(t, \mathbf{y}, z) = -\frac{1}{z^2} G(t, \mathbf{y}, z)$ . 又因为

$$G(t, \mathbf{y}, z) = \int_0^{2\pi} \hat{h}(t, z \cos\theta, z \sin\theta) e^{2i\pi z(y_1 \cos\theta + y_2 \sin\theta)} z d\theta$$

所以  $\Phi'_z(t, \mathbf{y}, z) = -\frac{1}{z} \int_0^{2\pi} \hat{h} \tilde{e} d\theta$ . 进一步求导可得方程(19)-(22).

当  $z < 0$  时,  $\Phi(t, \mathbf{y}, z) = \int_0^{-\frac{1}{z}} G(t, \mathbf{y}, r) dr$ , 则  $\Phi'_z(t, \mathbf{y}, z) = \frac{1}{z^2} G(t, \mathbf{y}, z)$ . 同理可得方程(23)-(26).

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## A Note on Navier-Stokes Equation with Free Surface

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**Abstract:** In this paper, the first and second partial derivatives of a key function  $\eta$  have been derived in detail by means of some definitions and derivation methods of composite functions and Newton-Leibniz formula.

**Key words:** Fourier transform; polar coordinate transformation; Newton-Leibniz formula

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